



## COHEN PONTANI LIEBERMAN &amp; PAVANE

551 Fifth Avenue, New York, NY 10176 phone 212.687.2770 fax 212.972.5487 www.cplplaw.com

To: Mr. Greg Thompson From: ~~Evelyn Martinez~~ Yolanda Usher

Fax: 571-273-2045 Pages: 24 (total number of pages)

Re: U.S. Serial No. 10/019,426 Date: ~~Thursday, May 06, 2004~~ Re - Sent  
Device for Cooling an Electrical Appliance Monday, June 14, 2004  
Our File No. 5367-35PUS

☐ Confirmation will follow☒ No confirmation to follow

Dear Mr. Thompson

Pursuant to your request, transmitted herewith are the following:

- 1) Specification, Claims 1 to 6 & Abstract (11 pages) ✓
- 2) Five Sheets of drawings (Figs. 1-6) ✓
- 3) Preliminary Amendment (5 pp.) ✓
- 4) Status letter dated 10/3/2003 ✓
- 5) USPTO Reply dated 1/21/2004 ✓
- 6) Power of attorney & Letter dated 7/28/03 (3 pages)
- 7) Change of correspondence address dated 7/28/03

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5367-3SPUS



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

Date: 1/21/04

THOMAS LANGER ESQ.  
COHEN PONTANI LIEBERMAN & PAVANE  
551 FIFTH AVENUE  
SUITE 1210  
NEW YORK NY 10176

To: Applicant of Serial Number 10019426 (Art Unit 2835)

We project that this application will be first examined in 0 to 3 months from today.

COHEN, PONTANI, LIEBERMAN & PAVANE

EXHIBIT

Customer Service Office in Technology Center: 2800  
Phone Number: 703-306-3329  
FAX Number: 703-306-5515

Applicant/Attorney Contact Information:  
Telephone: (212) 68-7277  
Fax: No Fax #

Attorney Docket # 5367-35PUS

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Robert GERSTNER et al.

Serial No.: 10/019,426

Filed: December 31, 2001

For: Device for Cooling an Electrical Appliance

Examiner:

Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 3, 2003  
(Date of Deposit)

Thomas Langer  
Name of applicant, assignee or Registered Representative

Signature

October 3, 2003  
Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATUS LETTER

S I R:

In reviewing our file in the above-identified patent application, we note that we have received no action since the filing of this application on December 31, 2001. We would therefore appreciate a report as to the status of this case at your earliest possible convenience.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By Thomas Langer  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue  
New York, New York 10176  
(212) 687-2770

October 3, 2003

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>216269US2PCT</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	
INTERNATIONAL APPLICATION NO. <b>PCT/DE00/02146</b>		INTERNATIONAL FILING DATE <b>30 JUNE 2000</b>		PRIORITY DATE CLAIMED <b>30 JUNE 1999</b>	
TITLE OF INVENTION  <b>DEVICE FOR COOLING AN ELECTRICAL APPLIANCE</b>					
APPLICANT(S) FOR DO/EO/US  <b>Robert GERSTNER, et al.</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (e) (2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
<b>Request for Consideration of Documents in International Search Report</b> <b>Notice of Priority / Amended Sheet (page 9) / Drawings (5 sheets)</b>					



Docket No. 216269US2PCT

IN RE APPLICATION OF: Robert GERSTNER, et al.

SERIAL NO: NEW U.S. PCT APPLICATION BASED ON PCT/DE00/02146

FILED: HEREWITH

FOR: DEVICE FOR COOLING AN ELECTRICAL APPLIANCE

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: English Translation of Specification/Notice of Priority/Request for Consideration  
Preliminary Amendment/PCT Transmittal Letter/International Search Report  
Drawings (5 sheets)/Amended Sheet (page 9)/Check for \$1,020.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	7	MINUS	20	0	× \$18 =	\$0.00
INDEPENDENT	1	MINUS	3	0	× \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

22850

Customer Number 22830  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)Marvin J. Spivak  
Registration No. 24,913Surinder Sachar  
Registration No. 34,423

DOCKET NO.: 216269 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Robert GERSTNER, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HERewith

INTERNATIONAL APPLICATION NO.: PCT/DE00/02146

INTERNATIONAL FILING DATE: June 30, 2000

FOR: DEVICE FOR COOLING AN ELECTRICAL APPLIANCE

**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119**  
**AND THE INTERNATIONAL CONVENTION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

**COUNTRY**

Germany

**APPLICATION NO**

199 30 166.2

**DAY/MONTH/YEAR**

30 June 1999

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/DE00/02146.

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



22850

(703) 413-3000  
Fax No. (703) 413-2220  
(OSMMN 1/97)

Marvin J. Spivak  
Attorney of Record  
Registration No. 24,913  
Surinder Sachar  
Registration No. 34,423